

TRANSMITTAL LETTER			Case No. 7034/107
Serial No. 18,296,675	Filing Date October 28, 2003	Examiner	Group Art Unit
Inventor(s) Robert R. Mantell			
Title of Invention DUAL CAPACITY INSUFFLATOR TUBE			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Information Disclosure Statement (in duplicate); PTO Form 1449; Copies of cited references; Postcard evidencing receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9 =			x \$18 =	
Incep.		Minus			x 43 =			x \$86 =	
First Presentation of Multiple Dep. Claim					+ \$145 =			+ \$290 =	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37-CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*Anastasia Heffner*

Anastasia Heffner  
Registration No. 47,638  
Attorney for Applicant  
Customer No. 00757 - Brinks Hofer Gilson Lione

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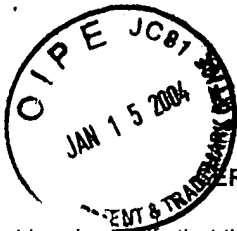
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 13, 2004.

Date:

1-13-04

Signature:

*Anastasia Heffner*



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

January 13, 2004

Date of Deposit

Anastasia Heffner

Name of Applicant, Assignee or  
Registered Representative

*Anastasia Heffner*

Signature

1-13-04

Date of Signature

Our Case No.: 7034/107

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Robert R. Mantell

Serial No.: 10/696,675

Filing Date: October 28, 2003

For: DUAL CAPACITY INSUFFLATOR  
TUBE

Examiner:

Group Art Unit No.:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

No.	Date	Name
3,853,105	12/10/74	Kenagy
4,048,992	09/20/77	Lindermann et al.
4,109,656	08/29/78	Goethel et al.
4,676,774	06/30/87	Semm et al.
4,878,894	11/07/89	Sutter, Jr. et al.
4,884,565	12/05/89	Cocozza
5,013,294	05/07/91	Baier
5,152,745	10/06/92	Steiner et al.
5,246,419	09/21/93	Absten
5,250,287	10/05/93	Cocozza
5,292,304	03/08/94	Mantell et al.
5,328,458	07/12/94	Sekino et al.
5,360,396	11/01/94	Chan
5,362,310	11/08/94	Semm
5,363,839	11/15/94	Lankford
5,411,474	05/02/95	Ott et al.
5,439,441	08/08/95	Grimsley et al.
5,464,008	11/07/95	Kim
5,464,144	11/07/95	Guy et al.
5,474,533	12/12/95	Ward et al.
5,497,934	03/12/96	Brady et al.
5,503,320	04/02/96	Webster et al.
5,514,087	05/07/96	Jones
5,515,860	05/14/96	Aviv et al.
5,549,546	08/27/96	Schneider et al.
5,586,974	12/24/96	Martinez et al.
5,599,297	02/04/97	Chin et al.
5,676,155	10/14/97	Novak et al.
5,722,962	03/03/98	Garcia
5,800,381	09/01/98	Ognier
5,814,012	09/29/98	Flenner et al.
5,857,999	01/12/99	Quick et al.
5,873,819	02/23/99	Koch
5,908,402	06/01/99	Blythe
5,972,020	10/26/99	Carpentier et al.
6,004,509	12/21/99	Dey et al.
6,036,655	03/14/2000	Aviv et al.
6,068,609	05/30/2000	Ott et al.
6,139,519	10/31/2000	Blythe
6,203,519	03/20/2001	Fagerström et al.
6,258,069	07/10/2001	Carpentier et al.
6,299,592	10/09/2001	Zander

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

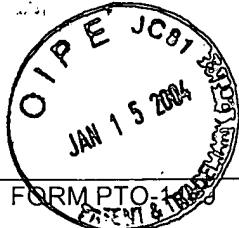
This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
Anastasia Heffner  
Registration No. 47,638  
Attorney for Applicant(s)

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FORM PTO-1005 (PATENT & TRADEMARK)	SERIAL NO. 10/696,675	CASE NO. 7034/107
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 28, 2003	GROUP ART UNIT
(use several sheets if necessary)	APPLICANT(S): Robert R. Mantell	

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	3,853,105	12/10/74	Kenagy		
	A2	4,048,992	09/20/77	Lindermann et al.		
	A3	4,109,656	08/29/78	Goethel et al.		
	A4	4,676,774	06/30/87	Semm et al.		
	A5	4,878,894	11/07/89	Sutter, Jr. et al.		
	A6	4,884,565	12/05/89	Cocozza		
	A7	5,013,294	05/07/91	Baier		
	A8	5,152,745	10/06/92	Steiner et al.		
	A9	5,246,419	09/21/93	Absten		
	A10	5,250,287	10/05/93	Cocozza		
	A11	5,292,304	03/08/94	Mantell et al.		
	A12	5,328,458	07/12/94	Sekino et al.		
	A13	5,360,396	11/01/94	Chan		
	A14	5,362,310	11/08/94	Semm		
	A15	5,363,839	11/15/94	Lankford		
	A16	5,411,474	05/02/95	Ott et al.		
	A17	5,439,441	08/08/95	Grimsley et al.		
	A18	5,464,008	11/07/95	Kim		
	A19	5,464,144	11/07/95	Guy et al.		
	A20	5,474,533	12/12/95	Ward et al.		
	A21	5,497,934	03/12/96	Brady et al.		
	A22	5,503,320	04/02/96	Webster et al.		
	A23	5,514,087	05/07/96	Jones		
	A24	5,515,860	05/14/96	Aviv et al.		
	A25	5,549,546	08/27/96	Schneider et al.		
	A26	5,586,974	12/24/96	Martinez et al.		
	A27	5,599,297	02/04/97	Chin et al.		
	A28	5,676,155	10/14/97	Novak et al.		
	A29	5,722,962	03/03/98	Garcia		
	A30	5,800,381	09/01/98	Ognier		
	A31	5,814,012	09/29/98	Flenner et al.		
	A32	5,857,999	01/12/99	Quick et al.		
	A33	5,873,819	02/23/99	Koch		
	A34	5,908,402	06/01/99	Blythe		
	A35	5,972,020	10/26/99	Carpentier et al.		
	A36	6,004,509	12/21/99	Dey et al.		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 OFFICE OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		SERIAL NO. 10/696,675	CASE NO. 7034/107
		FILING DATE October 28, 2003	GROUP ART UNIT
APPLICANT(S): Robert R. Mantell			

### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A37	6,036,655	03/14/2000	Aviv et al.		
	A38	6,068,609	05/30/2000	Ott et al.		
	A39	6,139,519	10/31/2000	Blythe		
	A40	6,203,519	03/20/2001	Fagerström et al.		
	A41	6,258,069	07/10/2001	Carpentier et al.		
	A42	6,299,592	10/09/2001	Zander		

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A43					
	A44					
	A45					
	A46					
	A47					

### OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A48	
	A49	
	A50	
	A51	
	A52	
	A53	

EXAMINER	DATE CONSIDERED
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